

<b>Notice of References Cited</b>	Application/Control No. 10/799,205		Applicant(s)/Patent Under Reexamination WAELBROECK ET AL.	
	Examiner BRUCE I. EBERSMAN		Art Unit 3691	Page 1 of 1

#### U.S. PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	A	US-7,565,313	07-2009	Waelbroeck et al.	705/37
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

#### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
*	U	"The Journal of Portfolio Management, Structural Changes in Trading Stocks" by Bartley J. Madden, 1993.
	V	Volatility and Liquidity in futures markets by Peter R. Locke and Asani Sakar, Federal Reserve Bank Of NY, Research Paper 9612, 1996
	W	A Taxonomy of automated Trade Execution systems by Ian Domowitz, Journal of International Money and Finance, 1993, 12, pp. 607-631
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.